

Example: FoundationOne CDx

Tumor alteration	Result	Where to find on report
ALK Rearrangement/Fusion	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
BRAF V600E Mutation	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
BRAF Other Mutation	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
CUL3 Mutation	No	Appendix p.5 listed under “DNA Gene List: Entire Coding Sequence...” however no abnormality listed in report
EGFR Exon 19 Deletion	Yes	p.1 under Genomic Findings “EGFR – exon 19 deletion (E746_S752>V)”
EGFR Exon 20 Insertion	No	p.1 under Genomic Findings “EGFR – exon 19 deletion (E746_S752>V)”
EGFR Exon 21 L858R Mutation	No	p.1 under Genomic Findings “EGFR – exon 19 deletion (E746_S752>V)”
EGFR Other Mutation	No	p.1 under Genomic Findings “EGFR – exon 19 deletion (E746_S752>V)”
ERBB2 (HER2) Amplification/Copy Number Gain	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
ERBB2 (HER2) Mutation	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF,

		ERBB2, KRAS, MET, RET, ROS1”
KEAP1 Mutation	No	p.5 listed under “DNA Gene List: Entire Coding Sequence...” however no abnormality listed in report
KRAS G12C Mutation	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
KRAS Other Mutation	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
MET Amplification/Copy Number Gain	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
MET exon 14 Skipping Mutation/Splice Site Alteration	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
MET Other Mutation	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
NFE2L2 Mutation	No	Appendix p.5 listed under “DNA Gene List: Entire Coding Sequence...” however no abnormality listed in report
NTRK1 Rearrangement/Fusion	No	Appendix p.5 listed under “DNA Gene List:...Rearrangements” however no abnormality listed in report
NTRK2 Rearrangement/Fusion	No	Appendix p.5 listed under “DNA Gene

		List:...Rearrangements” however no abnormality listed in report
NTRK3 Rearrangement/Fusion	Unknown	Appendix p.5 NOT listed under “DNA Gene List:...Rearrangements”
RET Rearrangement/Fusion	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
ROS1 Rearrangement/Fusion	No	p.1 “7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1”
STK11 Mutation	No	Appendix p.5 listed under “DNA Gene List: Entire Coding Sequence...” however no abnormality listed in report
TP53 Mutation	Yes	p.1 under Genomic Findings “TP53 N239D”

Interpretive content in the Professional Services sections is provided as a laboratory professional service, and has not been reviewed or approved by the FDA. The FDA approved pages immediately follow the Professional Services Summary, and the remainder of the Professional Services content follows the FDA approved section.

**ABOUT THE TEST** FoundationOne®CDx is the first and only FDA-Approved comprehensive companion diagnostic for all solid tumors.

**PATIENT**  
DISEASE Lung adenocarcinoma  
NAME  
DATE OF BIRTH  
SEX  
MEDICAL RECORD #

**PHYSICIAN**  
ORDERING PHYSICIAN  
MEDICAL FACILITY  
ADDITIONAL RECIPIENT  
MEDICAL FACILITY ID  
PATHOLOGIST

**SPECIMEN**  
SPECIMEN SITE  
SPECIMEN ID  
SPECIMEN TYPE  
DATE OF COLLECTION  
SPECIMEN RECEIVED

**Biomarker Findings**

**Microsatellite status - MS-Stable**  
**Tumor Mutational Burden - 5 Muts/Mb**

**Genomic Findings**

For a complete list of the genes assayed, please refer to the Appendix.

**EGFR exon 19 deletion (E746\_S752>V)**

**PIK3CA E726K**  
**RB1Q689\***  
**TP53 N239D**

**7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1**

**Report Highlights**

- There are positive **Companion Diagnostic Findings** identified for this patient. See the [FDA Approved section](#)
- Targeted therapies with **NCCN categories of evidence** in this tumor type: Afatinib (p. 6), Dacomitinib (p. 7), Erlotinib (p. 7), Gefitinib (p. 8), Osimertinib (p. 8)
- Evidence-matched **clinical trial options** based on this patient's genomic findings: (p. 10)

**BIOMARKER FINDINGS**

**Microsatellite status - MS-Stable**  
**Tumor Mutational Burden - 5 Muts/Mb**

**GENOMIC FINDINGS**

**EGFR - exon 19 deletion (E746\_S752>V)**

**10 Trials** see p. 10

**PIK3CA - E726K**

**10 Trials** see p. 12

**THERAPY AND CLINICAL TRIAL IMPLICATIONS**

No therapies or clinical trials. See Biomarker Findings section

No therapies or clinical trials. See Biomarker Findings section

THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)		THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
Afatinib	<input type="checkbox"/>	none
Dacomitinib	<input type="checkbox"/>	
Erlotinib	<input type="checkbox"/>	
Gefitinib	<input type="checkbox"/>	
Osimertinib	<input type="checkbox"/>	
none		Everolimus
		Temsirolimus

NCCN category

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

RB1 - Q689\* ..... p. 4 **TP53 - N239D** ..... p. 5

**NOTE** Genomic alterations detected may be associated with activity of certain FDA-approved drugs; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type.

SAMPLE

**PATIENT**

DISEASE Lung adenocarcinoma  
NAME  
DATE OF BIRTH  
SEX  
MEDICAL RECORD #

**PHYSICIAN**

ORDERING PHYSICIAN  
MEDICAL FACILITY  
ADDITIONAL RECIPIENT  
MEDICAL FACILITY ID  
PATHOLOGIST

**SPECIMEN**

SPECIMEN SITE  
SPECIMEN ID  
SPECIMEN TYPE  
DATE OF COLLECTION  
SPECIMEN RECEIVED

**Companion Diagnostic (CDx) Associated Findings**

GENOMIC FINDINGS DETECTED	FDA-APPROVED THERAPEUTIC OPTIONS
<b>EGFR</b> exon 19 deletion (E746_S752>V)	Gilotrif® (Afatinib) Iressa® (Gefitinib) Tagrisso® (Osimertinib) Tarceva® (Erlotinib) Vizimpro® (Dacomitinib)

**OTHER ALTERATIONS & BIOMARKERS IDENTIFIED**

Results reported in this section are not prescriptive or conclusive for labeled use of any specific therapeutic product. See professional services section for additional information.

**Microsatellite status** MS-Stable §

**Tumor Mutational Burden** 5 Muts/Mb §

**PIK3CA** E726K

**RB1** Q689\*

**TP53** N239D

§ Refer to appendix for limitation statements related to detection of any copy number alterations, gene rearrangements, BRCA1/2 alterations, LOH, MSI, or TMB results in this section.

Please refer to appendix for Explanation of Clinical Significance Classification and for variants of unknown significance (VUS).

FoundationOne®CDx (FICDx) is a qualitative next generation sequencing based in vitro diagnostic test that uses targeted high throughput hybridization-based capture technology for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) using DNA isolated from formalin-fixed, paraffin embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1 in accordance with the approved therapeutic product labeling. Additionally, FICDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms. Genomic findings other than those listed in Table 1 are not prescriptive or conclusive for labeled use of any specific therapeutic product.

The test is also used for detection of genomic loss of heterozygosity (LOH) from formalin-fixed, paraffin-embedded (FFPE) ovarian tumor tissue. Positive homologous recombination deficiency (HRD) status (FICDx HRD defined as tBRCA-positive and/or LOH high) in ovarian cancer patients is associated with improved progression-free survival (PFS) from Rubraca (rucaparib) maintenance therapy in accordance with the Rubraca product label.

The FICDx assay is performed at Foundation Medicine, Inc. sites located in Cambridge, MA and Morrisville, NC.

TABLE 1: COMPANION DIAGNOSTIC INDICATIONS

INDICATION	BIOMARKER	THERAPY
Non-small cell lung cancer (NSCLC)	EGFR exon 19 deletions and EGFR exon 21 L858R alterations	EGFR Tyrosine Kinase Inhibitors (TKI) Approved by FDA*
	EGFR exon 20 T790M alterations	Tagrisso® (Osimertinib)
	ALK rearrangements	Alecensa® (Alectinib), Alunbrig® (Brigatinib), Xalkori® (Crizotinib), or Zykadia® (Ceritinib)
	BRAF V600E	Tafinlar® (Dabrafenib) in combination with Mekinist® (Trametinib)
	MET single nucleotide variants (SNVs) and indels that lead to MET exon 14 skipping	Tabrecta® (Capmatinib)
Melanoma	BRAF V600E	BRAF Inhibitor Approved by FDA*
	BRAF V600E and V600K	Mekinist® (Trametinib) or BRAF/MEK Inhibitor Combinations Approved by FDA*
	BRAF V600 mutation-positive	Tecentriq® (Atezolizumab) in combination with Cotellix® (Cobimetinib) and Zelboraf® (Vemurafenib)
Breast cancer	ERBB2 (HER2) amplification	Herceptin® (Trastuzumab), Kadcyla® (Ado-trastuzumab emtansine), or Perjeta® (Pertuzumab)
	PIK3CA C420R, E542K, E545A, E545D [1635G>T only], E545G, E545K, Q546E, Q546R, H1047L, H1047R, and H1047Y alterations	Piqray® (Alpelisib)
Colorectal cancer	KRAS wild-type (absence of mutations in codons 12 and 13)	Erbbitux® (Cetuximab)
	KRAS wild-type (absence of mutations in exons 2, 3, and 4) and NRAS wild type (absence of mutations in exons 2, 3, and 4)	Vectibix® (Panitumumab)
Ovarian cancer	BRCA1/2 alterations	Lynparza® (Olaparib) or Rubraca® (Rucaparib)
Cholangiocarcinoma	FGFR2 fusions and select rearrangements	Pemazyre® (Pemigatinib) or Truseltiq™ (Infigratinib)
Prostate cancer	Homologous Recombination Repair (HRR) gene (BRCA1, BRCA2, ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D and RAD54L) alterations	Lynparza® (Olaparib)
Solid Tumors	MSI-High	Keytruda® (Pembrolizumab)
	TMB ≥ 10 mutations per megabase	Keytruda® (Pembrolizumab)
	NTRK1/2/3 fusions	Vitrakvi® (Larotrectinib)

\*For the most current information about the therapeutic products in this group, go to: <https://www.fda.gov/medicaldevices/productsandmedicalprocedures/invitrodiagnostics/ucm301431.htm>

**ABOUT THE TEST** FoundationOne®CDx is the first FDA-approved broad companion diagnostic for solid tumors.

Electronically signed by Leah Commander, M.D. |  
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Foundation Medicine, Inc. | 1.888.988.3639

Sample Preparation: 7010 Kit Creek Road, Morrisville, NC 27560 · CLIA: 34D2044309  
Sample Analysis: 7010 Kit Creek Road, Morrisville, NC 27560 · CLIA: 34D2044309  
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

ORDERED TEST #

BIOMARKER FINDINGS

BIOMARKER

## Microsatellite status

RESULT  
MS-Stable

### POTENTIAL TREATMENT STRATEGIES

#### — Targeted Therapies —

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors<sup>1-3</sup>, including approved therapies nivolumab and pembrolizumab<sup>4</sup>. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and

experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%,  $p=0.001$ )<sup>5</sup>.

### FREQUENCY & PROGNOSIS

MSI-H is generally infrequent in NSCLC, reported in fewer than 1% of samples across several large studies<sup>6-11</sup>, whereas data on the reported incidence of MSI-H in SCLC has been limited and conflicting<sup>12-15</sup>. One study reported MSI-H in lung adenocarcinoma patients with smoking history, and 3 of 4 MSI-H patients examined also had metachronous carcinomas in other organs, although this has not been investigated in large scale studies<sup>6</sup>. Published data investigating the prognostic implications of MSI in NSCLC are limited (PubMed, Oct 2021).

### FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>16</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2<sup>16-18</sup>. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers<sup>19-21</sup>. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins<sup>16,18,20-21</sup>.

BIOMARKER

## Tumor Mutational Burden

RESULT  
5 Muts/Mb

### POTENTIAL TREATMENT STRATEGIES

#### — Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>22-24</sup>, anti-PD-1 therapies<sup>22-25</sup>, and combination nivolumab and ipilimumab<sup>26-31</sup>. Multiple clinical trials of PD-1- or PD-L1-targeting immune checkpoint inhibitors or combination of PD-1 and CTLA-4 inhibitors in NSCLC have reported that patients with tumors harboring TMB  $\geq 10$  Muts/Mb derive greater clinical benefit from these therapies than those with TMB  $< 10$  Muts/Mb (based on this assay or others); similarly, higher efficacy of anti-PD-1 or anti-PD-L1 immunotherapy for treatment of patients with NSCLC, compared with the use of chemotherapy, has been observed more significantly in cases of TMB  $\geq 10$  Muts/Mb (based on this assay or others);<sup>22-23,26-28,32-39</sup>. Improved OS of patients with NSCLC treated with pembrolizumab plus chemotherapy relative to chemotherapy only<sup>40</sup>, or those treated with nivolumab plus ipilimumab also relative to

chemotherapy<sup>41</sup>, has been observed across all TMB levels.

### FREQUENCY & PROGNOSIS

A large-scale genomic analysis found that unspecified lung non-small cell lung carcinoma (NSCLC), lung adenocarcinoma, and lung squamous cell carcinoma (SCC) samples harbored median TMBs between 6.3 and 9 Muts/Mb, and 12% to 17% of cases had an elevated TMB of greater than 20 Muts/Mb<sup>42</sup>. Lower TMB is observed more commonly in NSCLCs harboring known driver mutations (EGFR, ALK, ROS1, or MET) with the exception of BRAF or KRAS mutations, which are commonly observed in elevated TMB cases<sup>43</sup>. Although some studies have reported a lack of association between smoking and mutational burden in NSCLC<sup>44-45</sup>, several other large studies did find a strong association with increased TMB<sup>46-49</sup>. TMB  $> 10$  muts/Mb was found to be more frequent in NSCLC metastases compared with primary tumors for both adenocarcinoma (38% vs. 25%) and SCC (41% vs. 35%) subtypes<sup>50</sup>. A meta-analysis of 19 studies of immune checkpoint inhibitor-treated NSCLC ( $n = 2,315$  patients) demonstrated that high TMB predicted a significantly longer OS than low TMB (HR = 0.70), and within the high TMB group, immunotherapy was associated with an improved PFS (HR = 0.62,  $P < 0.001$ ), OS (HR = 0.67,  $P < 0.001$ ) and a higher response rate (OR = 2.35,  $P < 0.001$ ) compared to chemotherapy<sup>51</sup>. In contrast, a large study of Chinese patients with untreated lung

adenocarcinoma reported a shorter median OS for tumors with a higher number of mutations in a limited gene set compared with a lower mutation number (48.4 vs. 61.0 months)<sup>44</sup>. Another study of patients with NSCLC treated with EGFR inhibitors or platinum doublet chemotherapy found elevated TMB to be correlated with poorer prognosis, as well as finding lower TMB in combination with PD-L1 negative status to be significantly associated with longer median survival in patients with lung adenocarcinoma<sup>52</sup>. However, no significant prognostic association of TMB and/or PD-L1 status with survival has been reported in patients with lung SCC<sup>52-53</sup>.

### FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>54-55</sup> and cigarette smoke in lung cancer<sup>32,56</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>57-58</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>59-63</sup>, and microsatellite instability (MSI)<sup>59,62-63</sup>. This sample harbors a TMB below levels that would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents<sup>22-23,26-28,32-39,64</sup>.



ORDERED TEST #

GENOMIC FINDINGS

GENE

**EGFR**

ALTERATION

exon 19 deletion (E746\_S752>V)

TRANSCRIPT ID

NM\_005228

CODING SEQUENCE EFFECT

2237\_2255AATTAAGAGAAGCAACATC>T

VARIANT ALLELE FREQUENCY (% VAF)

21.3%

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

For patients with non-small cell lung cancer (NSCLC), EGFR activating mutations may predict sensitivity to EGFR-TKIs, including erlotinib<sup>65</sup>, gefitinib<sup>66-69</sup>, afatinib<sup>70-73</sup>, dacomitinib<sup>74</sup>, and osimertinib<sup>71,75</sup>; however, the data for patients with other tumor types are limited<sup>76-81</sup>. The Phase 1 CHRYSALIS study of amivantamab monotherapy or in combination with lazertinib for the treatment of EGFR-mutated non-small cell lung cancer (NSCLC) has produced encouraging preliminary results for treatment-naïve patients and patients who relapsed after treatment with osimertinib with and without chemotherapy, including osimertinib-relapsed patients with biomarkers indicating EGFR/MET-based osimertinib resistance<sup>82-85</sup>. In a Phase 1 trial, the HER3-targeted antibody patritumab deruxtecan elicited an ORR of 39% (22/57, 1 CR) and a median PFS of 8.2 months for patients with non-small cell lung cancer previously treated with an EGFR TKI, many of whom displayed TKI resistance alterations<sup>86</sup>. A Phase 1 trial evaluating the EGFR inhibitor AZD3759 reported a reduction in the volume of brain metastases in 40% (8/20) of patients with previously treated non-small cell lung cancer (NSCLC) harboring either the EGFR

L858R alteration or EGFR exon 19 deletion, including 3 confirmed PRs and 3 unconfirmed PRs<sup>87-88</sup>. In a Phase 1/2 trial for advanced NSCLC, the brain-penetrant third-generation EGFR TKI lazertinib enabled ORRs of 54% (69/127) for all evaluable patients and 44% (8/18, intracranial) for patients with brain metastases<sup>89</sup>. A Phase 1 trial evaluating the irreversible pan-HER inhibitor FCN-411 for NSCLC patients who had EGFR mutations and experienced disease progression on standard treatments reported an ORR of 15% with 10/67 patients achieving PR, and a DCR of 73% with 39 additional patients achieving SD<sup>90</sup>. OR was observed in a numerically higher proportion of patients with the EGFR T790M mutation than those without this mutation<sup>90</sup>.

— Potential Resistance —

For patients with NSCLC treated with EGFR tyrosine kinase inhibitors, PIK3CA mutation is associated with shorter OS in a meta-analysis (pooled HR of 1.83)<sup>91</sup>. Clinical studies of lung cancer have shown that acquired PIK3CA mutation may confer resistance to EGFR inhibitors like osimertinib<sup>92</sup>.

— Nontargeted Approaches —

Patients with EGFR-mutated non-squamous metastatic non-small cell lung cancer previously treated with EGFR TKI have benefited from immune checkpoint inhibitors combined with anti-angiogenic and chemotherapy, particularly atezolizumab plus bevacizumab plus carboplatin and paclitaxel (OS HR 0.61 compared with bevacizumab/chemotherapy)<sup>93-95</sup> or sintilimab plus bevacizumab biosimilar plus cisplatin and pemetrexed (PFS HR 0.46 compared with chemotherapy alone)<sup>96</sup>.

FREQUENCY & PROGNOSIS

EGFR mutation has been reported in 12-36% of lung adenocarcinomas<sup>48,97-98</sup> and in 4% of lung

squamous cell carcinomas<sup>99</sup>. EGFR protein expression/overexpression has been reported in up to 70% of NSCLC cases<sup>100-105</sup>. In addition, expression of EGFR protein has been shown to be higher in lung squamous cell carcinoma samples as compared to lung adenocarcinoma<sup>106-107</sup>. In patients with lung adenocarcinoma, EGFR mutation was a predictor of poor overall survival<sup>108-109</sup>. However, EGFR mutations have been reported to predict improved survival in patients with resected Stage 1-3 lung adenocarcinoma<sup>110</sup> or resected Stage 1 NSCLC<sup>111</sup>. In the context of metastatic non-small cell lung cancer (NSCLC), patients with EGFR sensitizing mutations and concurrent alterations in both RB1 and TP53 (triple-mutant), as seen here, may be at significantly higher risk of transformation to small cell lung cancer (SCLC), a mechanism of resistance to treatment with EGFR inhibitors; median time from advanced NSCLC diagnosis to SCLC transformation has been reported to be 17.8 months<sup>112-114</sup>. A retrospective study reported SCLC transformation in 18% (7/39) of patients with triple-mutant NSCLC and a shorter time to initial EGFR inhibitor discontinuation in these patients (9.5 months) compared to that in patients with EGFR/TP53-mutant NSCLC (12.3 months) or in patients with NSCLC harboring EGFR mutations only (36.6 months)<sup>114</sup>.

FINDING SUMMARY

EGFR encodes the epidermal growth factor receptor, which belongs to a class of proteins called receptor tyrosine kinases. In response to signals from the environment, EGFR passes biochemical messages to the cell that stimulate it to grow and divide<sup>115</sup>. EGFR exon 19 deletion mutations, such as seen here, have been shown to activate the tyrosine kinase activity of EGFR and to confer sensitivity to EGFR tyrosine kinase inhibitors such as erlotinib, gefitinib<sup>116-118</sup>, afatinib<sup>119</sup>, osimertinib<sup>120</sup>, and dacomitinib<sup>74,121</sup>, although limited preclinical data suggest reduced sensitivity to lapatinib<sup>122-123</sup>.

ORDERED TEST #

GENOMIC FINDINGS

GENE

**PIK3CA**

ALTERATION

E726K

TRANSCRIPT ID

NM\_006218

CODING SEQUENCE EFFECT

2176G>A

VARIANT ALLELE FREQUENCY (% VAF)

32.4%

PIK3CA mutations with or without PTEN loss met its primary endpoint with an ORR of 16% (4/25 PRs); responses (PR or SD >6 months) were seen in patients with ameloblastoma, liposarcoma, and carcinomas of the endometrium, ovary, esophagus, lung, and prostate<sup>131</sup>. However, the Phase 2 study of copanlisib for patients with endometrial carcinoma harboring PIK3CA hotspot mutations failed to report any objective responses (n=11)<sup>130</sup>. Two other studies of copanlisib for patients with genomically unselected tumors reported 1 CR and 2 PRs (1 unconfirmed) among 16 total patients with PIK3CA-mutated solid tumors with or without PTEN alterations<sup>128-129</sup>.

**FREQUENCY & PROGNOSIS**

In the TCGA datasets, PIK3CA mutation was observed in 8.2% of lung adenocarcinoma cases<sup>143</sup> and in 15.7% of lung squamous cell carcinoma cases<sup>99</sup>. Studies have observed PIK3CA amplification and mutation to be far more frequent in lung squamous cell carcinomas than in lung adenocarcinomas, with amplification reported in 34-42% of the former<sup>144-147</sup>. The prognostic significance of PIK3CA mutation or overexpression in NSCLC is unclear, with several studies reporting contradictory data, which may be influenced by the specific PIK3CA mutation, histologic subtype, and the presence of concurrent mutations in oncogenes such as EGFR and KRAS<sup>148-153</sup>.

**POTENTIAL TREATMENT STRATEGIES**

— Targeted Therapies —

Clinical and preclinical data in various tumor types indicate that PIK3CA activating alterations may predict sensitivity to therapies targeting PI3K<sup>124-131</sup>, AKT<sup>132-133</sup>, or mTOR<sup>134-141</sup>. A Phase 2 study of buparlisib in NSCLC observed 2 PRs (3.2%; 2/63) in PIK3CA-pathway activated tumors, although the study did not meet its primary endpoint<sup>142</sup>. The Phase 2 NCI-MATCH study of copanlisib for patients with refractory solid tumors harboring

— Potential Resistance —

For patients with NSCLC treated with EGFR tyrosine kinase inhibitors, PIK3CA mutation is associated with shorter OS in a meta-analysis (pooled HR of 1.83)<sup>91</sup>. Clinical studies of lung cancer have shown that acquired PIK3CA mutation may confer resistance to EGFR inhibitors like osimertinib<sup>92</sup>.

**FINDING SUMMARY**

PIK3CA encodes p110-alpha, which is the catalytic subunit of phosphatidylinositol 3-kinase (PI3K). The PI3K pathway is involved in cell signaling that regulates a number of critical cellular functions, including cell growth, proliferation, differentiation, motility, and survival<sup>154-155</sup>. PIK3CA alterations that have been characterized as activating, such as observed here, are predicted to be oncogenic<sup>156-177</sup>.

ORDERED TEST #

GENOMIC FINDINGS

GENE

**RB1**

ALTERATION

Q689\*

TRANSCRIPT ID

NM\_000321

CODING SEQUENCE EFFECT

2065C>T

VARIANT ALLELE FREQUENCY (% VAF)

25.1%

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

On the basis of limited clinical data<sup>178</sup> and strong preclinical data<sup>179-181</sup>, RB1 inactivation may be associated with sensitivity to inhibitors of Aurora kinase A, particularly in small cell lung cancer. It should be noted that a trial of the Aurora kinase A inhibitor alisertib in advanced prostate cancer did not find an association between RB1 deletion and clinical benefit<sup>182</sup>. Other approaches to target RB1 inactivation under investigation in preclinical studies include inhibitors of BCL-2 family members<sup>183</sup> and activation of the NOTCH pathway<sup>184</sup>.

— Potential Resistance —

Rb inactivation may predict resistance to CDK4/6 inhibitors such as palbociclib, abemaciclib, and ribociclib, which act upstream of Rb<sup>185-194</sup>.

— Nontargeted Approaches —

Loss of Rb function has been associated with increased sensitivity to cytotoxic agents and chemotherapeutics in both preclinical studies and in patients with bladder or breast cancer<sup>195-196</sup>.

FREQUENCY & PROGNOSIS

In the TCGA dataset, RB1 mutation was observed in 5% of lung squamous cell carcinoma cases<sup>99</sup> and 4% of lung adenocarcinoma cases<sup>98</sup>. Loss of Rb protein expression has been reported in 62% of pre-chemotherapy advanced non-small cell lung cancers (NSCLC)<sup>197</sup>. One study found that RB1 expression was correlated with poor prognosis for patients with NSCLC<sup>198</sup>. In the context of metastatic non-small cell lung cancer (NSCLC), patients with EGFR sensitizing mutations and concurrent alterations in both RB1 and TP53 (triple-mutant), as seen here, may be at significantly higher risk of transformation to small cell lung cancer (SCLC), a mechanism of resistance to treatment with EGFR inhibitors; median time from advanced NSCLC diagnosis to SCLC

transformation has been reported to be 17.8 months<sup>112-114</sup>. A retrospective study reported SCLC transformation in 18% (7/39) of patients with triple-mutant NSCLC and a shorter time to initial EGFR inhibitor discontinuation in these patients (9.5 months) compared to that in patients with EGFR/TP53-mutant NSCLC (12.3 months) or in patients with NSCLC harboring EGFR mutations only (36.6 months)<sup>114</sup>.

FINDING SUMMARY

RB1 encodes the retinoblastoma protein (Rb), a tumor suppressor and negative regulator of the cell cycle<sup>196,199</sup>. Alterations such as seen here may disrupt RB1 function or expression<sup>200-206</sup>.

POTENTIAL GERMLINE IMPLICATIONS

Mutations in RB1 underlie the development of retinoblastoma (RB), a rare tumor that arises at a rate of approximately 1:20,000 live births, with nearly 5,000 new cases worldwide per year<sup>207</sup>. Germline mutations in RB1 account for approximately 40% of RB tumors<sup>208</sup> and are associated with an increased risk of developing secondary malignancies that include soft tissue and bone sarcoma and malignant melanoma<sup>209-210</sup>. In the appropriate clinical context, germline testing of RB1 is recommended.

ORDERED TEST #

**GENOMIC FINDINGS**
**GENE**

# TP53

**ALTERATION**

N239D

**TRANSCRIPT ID**

NM\_000546

**CODING SEQUENCE EFFECT**

715A&gt;G

**VARIANT ALLELE FREQUENCY (% VAF)**

26.8%

**POTENTIAL TREATMENT STRATEGIES**
**— Targeted Therapies —**

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib<sup>211-214</sup>, or p53 gene therapy and immunotherapeutics such as SGT-53<sup>215-219</sup> and ALT-801<sup>220</sup>. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% and SDs in 53% of patients with solid tumors; the response rate was 21% (4/19) for patients with TP53 mutations versus 12% (4/33) for patients who were TP53 wildtype<sup>221</sup>. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 32% (30/94, 3 CR) ORR and a 73% (69/94) DCR for patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer<sup>222</sup>. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 43% (9/21, 1 CR) ORR and a 76% (16/21) DCR for patients with platinum-refractory TP53-mutated ovarian cancer<sup>223</sup>. The combination of adavosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone<sup>224</sup>. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24% (6/25) ORR with adavosertib combined with paclitaxel<sup>225</sup>. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71% (5/7) response rate for patients with TP53 alterations<sup>226</sup>. The Phase 2 FOCUS4-C trial for patients with TP53- and RAS-mutated colorectal cancer reported improvement in PFS (3.61 vs. 1.87

months, HR=0.35, p=0.0022), but not OS (14.0 vs 12.8 months, p=0.93), following adavosertib treatment compared with active monitoring<sup>227</sup>. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage<sup>219</sup>. Missense mutations leading to TP53 inactivation may also be sensitive to therapies that reactivate mutated p53 such as APR-246<sup>228-230</sup>. In a Phase 1b trial for patients with p53-positive high-grade serous ovarian cancer, APR-246 combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR<sup>231</sup>. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies<sup>232-233</sup>; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies<sup>234-235</sup>. Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

**FREQUENCY & PROGNOSIS**

TP53 is one of the most commonly mutated genes in lung cancer; mutations have been reported in 43-80% of non-small cell lung cancers (NSCLCs)<sup>98-99,236-241</sup>, including 42-52% of lung adenocarcinomas and 58-83% of lung squamous cell carcinomas (cBioPortal, COSMIC, Feb 2022)<sup>48-49,98-99</sup>. TP53 homozygous deletion has been observed in 1.4% of lung adenocarcinoma and <1% of lung squamous cell carcinoma cases (cBioPortal, Feb 2022)<sup>242-243</sup>. In one study of 55 patients with lung adenocarcinoma, TP53 alterations correlated with immunogenic features including PD-L1 expression, tumor mutation burden and neoantigen presentation; likely as a consequence of this association TP53 mutations correlated with improved clinical outcomes to PD-1 inhibitors pembrolizumab and nivolumab in this study<sup>244</sup>. Mutations in TP53 have been associated with lymph node metastasis in patients with lung adenocarcinoma<sup>245</sup>. In the context of metastatic non-small cell lung cancer (NSCLC), patients with EGFR sensitizing mutations and concurrent alterations in both RB1 and TP53 (triple-mutant), as seen here, may be at significantly higher risk of transformation to small cell lung cancer (SCLC), a mechanism of resistance to treatment with EGFR inhibitors; median time from advanced NSCLC

diagnosis to SCLC transformation has been reported to be 17.8 months<sup>112-114</sup>. A retrospective study reported SCLC transformation in 18% (7/39) of patients with triple-mutant NSCLC and a shorter time to initial EGFR inhibitor discontinuation in these patients (9.5 months) compared to that in patients with EGFR/TP53-mutant NSCLC (12.3 months) or in patients with NSCLC harboring EGFR mutations only (36.6 months)<sup>114</sup>.

**FINDING SUMMARY**

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers<sup>246</sup>. Alterations such as seen here may disrupt TP53 function or expression<sup>247-251</sup>.

**POTENTIAL GERMLINE IMPLICATIONS**

Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers<sup>252-254</sup>, including sarcomas<sup>255-256</sup>. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>257</sup> to 1:20,000<sup>256</sup>. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30<sup>258</sup>. In the appropriate clinical context, germline testing of TP53 is recommended.

**POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS**

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>259-264</sup>. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy<sup>259-260</sup>. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>265</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH<sup>263,266-267</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT IN PATIENT'S TUMOR TYPE

# Afatinib

Assay findings association

**EGFR**  
exon 19 deletion (E746\_S752>V)

## AREAS OF THERAPEUTIC USE

Afatinib is an irreversible kinase inhibitor that targets the kinase domains of EGFR, ERBB2/HER2, and ERBB4. It is FDA approved for the first-line treatment of patients with metastatic non-small cell lung cancer (NSCLC) and nonresistant EGFR mutations and for the treatment of patients with metastatic, squamous NSCLC after progression on platinum-based chemotherapy. Please see the drug label for full prescribing information.

## GENE ASSOCIATION

EGFR activating mutations may indicate sensitivity to afatinib or dacomitinib for patients with non-small cell lung cancer<sup>70,74,268-269</sup>, whereas data for patients with other tumor types are limited<sup>76-81,270</sup>.

## SUPPORTING DATA

Afatinib has shown significant clinical activity for patients with NSCLC and the EGFR common sensitizing mutations L858R or exon 19 deletions, based on extensive clinical evidence<sup>70,268,271-274</sup>. Two randomized Phase 3 trials reported significantly improved median PFS from afatinib compared with chemotherapy for patients with EGFR common sensitizing mutations (LUX-Lung 3, 13.6 vs. 6.9 months, HR 0.47,  $p < 0.001$ ; LUX-Lung 6, 11.0 vs. 5.6 months, HR 0.28,  $p < 0.0001$ )<sup>70,268</sup>. However, while afatinib significantly increased OS relative to chemotherapy for patients with EGFR exon 19 alterations in these two trials (LUX-Lung 3, 33.3 vs. 21.1 months, HR=0.54; LUX-Lung 6, 31.4 vs. 18.4 months, HR=0.64), no significant OS differences were observed in treatment for patients with L858R mutation<sup>119</sup>. A similar alteration-specific difference was observed for EGFR-mutated treatment-naïve NSCLC in a retrospective analysis, which reported numerically longer median OS from second- versus first-generation EGFR TKIs (48.8 vs. 26.4 months, HR=0.59) for patients with exon 19 deletions, but no substantial difference for patients with L858R (25.4 vs. 20.6 months, HR=0.90)<sup>271</sup>. A Phase 2b study of first-line afatinib compared with gefitinib, also for NSCLC with exon 19 deletions or L858R, reported similar median OS for the two therapies (27.9 vs. 24.5 months, HR=0.86) but significantly longer time-to-treatment-failure (13.7 vs. 11.5 months, HR=0.75) and higher ORR (73% vs. 56%,  $p=0.0018$ ) with afatinib<sup>272</sup>.

Patients with metastatic NSCLC and common EGFR mutations who progressed on prior chemotherapy experienced an ORR of 50.0% (30/60) from afatinib in a Phase 4 trial<sup>273</sup>. As first-line therapy for NSCLC with EGFR exon 19 deletions or L858R, prospective or randomized Phase 2 trials have reported a median PFS of 10.2 months and OS of 24.8 months for patients unfit for chemotherapy<sup>274</sup> and an ORR of 72.5% ( $n=40$ , 1 CR), DCR of 100% (40/40), and median PFS and OS of 15.2 and 30.0 months, respectively, for elderly patients  $\geq 70$  years old<sup>275</sup>. A retrospective study of afatinib administered to Asian patients with NSCLC, 99% of whom were previously treated with erlotinib and/or gefitinib, reported an ORR of 27.4% (63/230) for patients with common sensitizing EGFR mutations and an ORR of 24.4% (105/431) for the entire cohort<sup>276</sup>. In a case report, a patient with NSCLC with exon 19 deletion and leptomeningeal metastases experienced an ongoing 16-month PR from afatinib in extracranial, brain, and leptomeningeal lesions<sup>277</sup>. For patients with erlotinib- or gefitinib-resistant NSCLC and EGFR mutations, Phase 2/3 studies of afatinib treatment have generally reported ORRs of only 7 to 9%<sup>278-283</sup>; however, DCRs of more than 50% have been observed<sup>282</sup>. In a Phase 1b or observational study, patients with EGFR-mutated NSCLC who progressed on afatinib experienced further clinical benefit from subsequent treatment with afatinib and cetuximab<sup>284</sup> or osimertinib<sup>285</sup>, respectively. Extensive clinical data have demonstrated that afatinib is effective for patients with EGFR-mutated advanced NSCLC, including exon 19 deletions and L858 mutations, as well as uncommon sensitizing mutations in exons 18 or 20<sup>70,119,268,272,274,276,286</sup>. Afatinib has also shown activity for patients with advanced NSCLC and ERBB2 mutations, most of which were exon 20 insertions<sup>282,287-297</sup>. The randomized Phase 3 LUX-Lung 8 trial comparing afatinib with erlotinib as second-line therapy for advanced lung squamous cell carcinoma (SCC) reported significantly longer median OS (7.9 vs. 6.8 months, HR=0.81), significantly longer median PFS (2.6 vs. 1.9 months, HR=0.81), and higher DCR (51% vs. 40%,  $p=0.002$ ) for patients treated with afatinib<sup>286</sup>. For patients who progressed on afatinib monotherapy, additional clinical benefit has been reported from afatinib combined with paclitaxel<sup>298</sup>.

ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT IN PATIENT'S TUMOR TYPE

## Dacomitinib

Assay findings association

**EGFR**  
exon 19 deletion (E746\_S752>V)

### AREAS OF THERAPEUTIC USE

Dacomitinib is a second generation irreversible tyrosine kinase inhibitor that targets the kinase domains of EGFR, ERBB2/HER2, and ERBB4/HER4. It is FDA approved for the first-line treatment of patients with metastatic non-small cell lung cancer (NSCLC) with EGFR exon 19 deletion or exon 21 L858R substitution mutations. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

EGFR activating mutations may indicate sensitivity to afatinib or dacomitinib for patients with non-small cell lung cancer<sup>70,74,268-269</sup>, whereas data for patients with other tumor types are limited<sup>76-81,270</sup>. Patients with untreated advanced NSCLC and EGFR exon 19 deletions achieved an ORR of 76%<sup>121</sup> and a median OS of 34.1 months with dacomitinib<sup>74</sup>.

### SUPPORTING DATA

A randomized Phase 3 trial in patients with NSCLC with activating EGFR mutations (primarily L858R or exon 19 deletions) reported improved clinical benefit with first-line dacomitinib compared with gefitinib (median OS,

34.1 vs. 26.8 months, HR=0.760; median PFS, 14.7 vs. 9.2 months, HR=0.59)<sup>121,299</sup>; median OS was 34.1 to 36.7 months and ORR was 74.9% to 79.3%, depending on the dosing regimen<sup>300</sup>. A pooled subgroup analysis of patients with NSCLC with activating EGFR mutations reported improved clinical efficacy with dacomitinib treatment compared with erlotinib (median PFS, 14.6 vs. 9.6 months, HR=0.717; median OS, 26.6 vs. 23.2 months, HR=0.737)<sup>301</sup>. Reduced efficacy of dacomitinib treatment in patients with NSCLC harboring the EGFR T790M mutation has been reported in multiple studies<sup>302-304</sup>. A Phase 1 trial of combination dacomitinib and a MEK1/2 inhibitor for patients with KRAS-mutated CRC, NSCLC, or pancreatic cancer reported 20/36 SDs and 16 PDs, however toxicity from this combination prevented long-term treatment in this patient population<sup>305</sup>. A Phase 2 study of dacomitinib in patients with NSCLC who had been previously treated with chemotherapy or erlotinib and were not selected for EGFR mutations reported an ORR of 4.5% (3/66)<sup>303</sup>. In one study, the combination of dacomitinib and crizotinib was ineffective and associated with high toxicity in patients with NSCLC<sup>306</sup>.

## Erlotinib

Assay findings association

**EGFR**  
exon 19 deletion (E746\_S752>V)

### AREAS OF THERAPEUTIC USE

Erlotinib is a small-molecule inhibitor of EGFR. It is FDA approved as a monotherapy or in combination with ramucirumab for patients with metastatic non-small cell lung cancer (NSCLC) harboring EGFR exon 19 deletions or exon 21 (L858R) mutations. Erlotinib is also FDA approved in combination with gemcitabine as a first-line treatment for advanced pancreatic cancer. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

Amplification or activation of EGFR may predict sensitivity to therapies such as erlotinib. For patients with activating mutations in EGFR, treatment with erlotinib has been associated with improved response and lengthened time to progression<sup>65,307-309</sup>.

### SUPPORTING DATA

In one study, median PFS (4.1 vs. 11.7 months, HR=9.7) and median OS (14.1 vs. 47.0 months, HR=10.2) were significantly shorter for patients with non-small cell lung cancer (NSCLC) harboring EGFR L747\_A750>P (n=6) relative to those with deletions affecting EGFR E746\_A750 (n=24) treated with first-line erlotinib<sup>310</sup>. For patients with EGFR-mutated non-small cell lung cancer (NSCLC), the Phase 3 EURTAC trial improved PFS with first-line erlotinib relative to platinum-based

chemotherapy (9.7 vs. 5.2 months, HR=0.37), though OS was not prolonged (22.9 vs 19.6 months, HR=0.92)<sup>65,311</sup>. This study and meta-analyses attribute the lack of OS benefit to the effectiveness of post-progression salvage therapy in the control arm<sup>312</sup>. A Phase 3 study reported similar efficacy of erlotinib and gefitinib for patients with EGFR-mutated NSCLC<sup>313</sup>. Patients with EGFR-mutated NSCLC have experienced PFS benefit with the addition of bevacizumab to erlotinib in the first-line setting in Phase 3 trials including the ARTEMIS-CTONG1509 trial for Chinese patients (17.9 vs. 11.2 months, HR=0.55)<sup>314</sup>, the NEJ026 trial for Japanese patients (16.9 vs. 13.3 months, HR=0.605)<sup>315-316</sup>, and the international BEVERLY trial (15.4 vs. 9.7 months, HR=0.60)<sup>317</sup>; OS benefit has not been observed across these studies. In the maintenance setting, Phase 3 trials have reported significantly improved PFS with maintenance erlotinib following first-line platinum-based chemotherapy, with the largest benefit for patients with EGFR mutations<sup>307,318</sup>. In the neoadjuvant setting, a Phase 2 trial reported a numerically improved ORR and significantly longer PFS with erlotinib compared with chemotherapy for patients with EGFR-mutated advanced NSCLC<sup>308</sup>. In the placebo-controlled Phase 3 RELAY trial, the addition of ramucirumab to erlotinib improved PFS for previously untreated patients with NSCLC harboring EGFR L858R or exon 19 deletion (19.4 vs. 12.4 months, HR=0.59)<sup>319</sup>.

ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT IN PATIENT'S TUMOR TYPE

## Gefitinib

Assay findings association

**EGFR**  
exon 19 deletion (E746\_S752>V)

### AREAS OF THERAPEUTIC USE

Gefitinib targets the tyrosine kinase EGFR and is FDA approved to treat non-small cell lung cancer (NSCLC) harboring exon 19 deletions or exon 21 (L858R) substitution mutations in EGFR. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

Activation of EGFR may predict sensitivity to therapies such as gefitinib. Clinical studies have consistently shown significant improvement in response rates and PFS for patients with EGFR-mutated non-small cell lung cancer (NSCLC) treated with gefitinib compared with chemotherapy<sup>309,320-325</sup>, and responses have been reported for patients with EGFR-rearranged NSCLC<sup>326-327</sup>.

### SUPPORTING DATA

Gefitinib achieved an ORR of 69.8% and OS of 19.2 months as first-line treatment for Caucasian patients with non-small cell lung cancer (NSCLC) and EGFR sensitizing mutations<sup>66</sup>. Phase 3 studies for Japanese patients<sup>322,328</sup>

and East Asian patients<sup>323,329</sup> with EGFR-mutated NSCLC reported longer PFS but not longer OS on first-line gefitinib compared with cisplatin and docetaxel or carboplatin and paclitaxel. Retrospective analysis of East Asian patients receiving first-line gefitinib reported greatest PFS benefit among patients with EGFR exon 19 insertions or deletions and shortest PFS for those with exon 20 insertions (1.2 months)<sup>330</sup>. Two Phase 3 trials of the combination gefitinib plus pemetrexed and carboplatin compared with gefitinib alone for patients with advanced NSCLC harboring EGFR activating mutations reported significantly higher ORRs (75.3% and 84% vs. 62.5% and 67%), longer median PFS (16 and 20.9 months vs. 8 and 11.9 months), and longer median OS (50.9 months and not reached vs. 17 and 38.8 months) with combination treatment; however, combination treatment was associated with increased Grade 3 or higher adverse events<sup>331-332</sup>. In a Phase 1 study for treatment-naïve patients with NSCLC, 63% (19/30) of patients experienced PR from the combination of gefitinib and the PD-L1 inhibitor durvalumab<sup>333</sup>.

## Osimertinib

Assay findings association

**EGFR**  
exon 19 deletion (E746\_S752>V)

### AREAS OF THERAPEUTIC USE

Osimertinib is an irreversible EGFR TKI that is selective for EGFR TKI-sensitizing mutations and the EGFR T790M mutation. It is FDA approved in various treatment settings for patients with non-small cell lung cancer (NSCLC) whose tumors have EGFR exon 19 deletions, exon 21 L858R mutations, or T790M mutations. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

EGFR TKI-sensitizing mutations or rearrangements and/or the EGFR T790M mutation may predict sensitivity to osimertinib in non-small cell lung cancer<sup>75,120,326,334-335</sup>. Patients with untreated advanced NSCLC and EGFR exon 19 deletions or L858R mutations achieved an ORR of 80% and a median PFS of 21.4 and 14.4 months, respectively<sup>120</sup>.

### SUPPORTING DATA

The Phase 3 FLAURA study reported that, relative to erlotinib or gefitinib, first-line osimertinib significantly increased both median PFS (18.9 vs. 10.2 months, HR=0.46) and median OS (38.6 vs. 31.8 months; HR=0.80) for patients with advanced NSCLC and activating, sensitizing EGFR mutations (specifically, exon 19 deletion or L858R)<sup>120,336</sup>. In the Phase 3 ADAURA study, patients with early Stage (IB/II/IIIA) EGFR-mutated NSCLC experienced longer PFSs on osimertinib compared to placebo in the adjuvant setting (not reached vs. 28.1 months; HR=0.21)<sup>337</sup>. A Phase 1 study reported that

T790M-negative patients with acquired EGFR TKI resistance experienced an ORR of 21% and a median PFS of 2.8 months<sup>75</sup>. A Phase 1b/2 study evaluating osimertinib in combination with the CD73 inhibitor oleclumab for patients with advanced EGFR-mutated, T790M-negative NSCLC reported an ORR of 19% (4/19), a DCR of 81%, and mPFS of 11 months (Kim et al., 2021 AACR Abstract CT163). A Phase 2 trial of osimertinib in combination with bevacizumab versus osimertinib monotherapy for patients with untreated advanced non-small cell lung cancer (NSCLC) harboring EGFR del19 or L858R reported no difference in ORR (82% vs 86%) and median PFS (22.1 vs 20.2 months, HR 0.862 p=0.213)<sup>338</sup>. The Phase 2 BOOSTER study of osimertinib in combination with bevacizumab versus osimertinib monotherapy for patients with advanced NSCLC with EGFR-sensitizing mutations (exon 19 del or L858R) and L790M at progression on prior EGFR TKI reported no difference in ORR (55% vs 55%), median OS (24.0 vs 24.3 months, HR 1.03 p=0.91), or median PFS (15.4 vs 12.3 months, HR 0.96 p=0.83), although improved PFS was observed for the combination in the subgroup of current or former smokers (16.5 vs 8.4, HR 0.52) while nonsmokers had no benefit (HR 1.47)<sup>339</sup>. The Phase 1b TATTON study of osimertinib in combination with selumetinib, savolitinib, or durvalumab for patients with previously treated EGFR-mutated NSCLC reported ORRs of 42% (15/36), 44% (8/18), and 44% (10/23), respectively<sup>340</sup>.

ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## Everolimus

Assay findings association

PIK3CA  
E726K

### AREAS OF THERAPEUTIC USE

Everolimus is an orally available mTOR inhibitor that is FDA approved to treat renal cell carcinoma (RCC) following antiangiogenic therapy; pancreatic neuroendocrine tumors; and well-differentiated non-functional neuroendocrine tumors of the lung or gastrointestinal tract. Everolimus is also approved to treat either renal angiomyolipoma or subependymal giant cell astrocytoma in association with tuberous sclerosis complex (TSC). Please see the drug label for full prescribing information.

### GENE ASSOCIATION

On the basis of clinical evidence<sup>134-141</sup>, PIK3CA activating mutations may predict sensitivity to mTOR inhibitors such as everolimus and temsirolimus. Studies have reported modest activity of these therapies as single agents (ORRs of 0-4%), but improved activity has been observed when they are combined with other agents such as bevacizumab and doxorubicin (ORRs of 25-44%), for patients with PIK3CA-mutated solid tumors<sup>138-141,341-345</sup>.

### SUPPORTING DATA

A trial of everolimus as a monotherapy in non-small cell

lung cancer (NSCLC) showed modest activity<sup>346</sup>, but a Phase 2 study of everolimus in combination with docetaxel did not show any added benefit of everolimus in an unselected population<sup>347</sup>. A Phase 1 study evaluated the addition of everolimus to carboplatin and paclitaxel +/- bevacizumab in advanced NSCLC and found the combinations produced 1 CR and 10 PRs (n=52), although treatments were not well tolerated<sup>348</sup>. A Phase 1 study in patients with advanced NSCLC of the combination of everolimus and erlotinib reported 9 objective responses and 28 patients experiencing SD (n=74), but a Phase 2 study found the combination ineffective at tolerated doses<sup>349-350</sup>. A trial of combination treatment with sorafenib and everolimus reported 1 PR and 1 SD in 2 patients with lung adenocarcinoma, with both patients experiencing progression-free survival of more than 4 months<sup>351</sup>. Whereas frequent adverse events precluded a recommended Phase 2 dose and schedule for the combination of trametinib and everolimus in a Phase 1b trial for solid tumors<sup>352</sup>, a retrospective study for heavily pretreated patients with solid tumors reported tolerable regimens of the combination for 23/31 patients, with 16 patients treated >3 months and evaluable patients achieving a median PFS of 6.5 months<sup>353</sup>.

## Temsirolimus

Assay findings association

PIK3CA  
E726K

### AREAS OF THERAPEUTIC USE

Temsirolimus is an intravenous mTOR inhibitor that is FDA approved for the treatment of advanced renal cell carcinoma. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

On the basis of clinical evidence<sup>134-141</sup>, PIK3CA activating mutations may predict sensitivity to mTOR inhibitors such as everolimus and temsirolimus. Studies have reported modest activity of these therapies as single agents (ORRs of 0-4%), but improved activity has been

observed when they are combined with other agents such as bevacizumab and doxorubicin (ORRs of 25-44%), for patients with PIK3CA-mutated solid tumors<sup>138-141,341-345</sup>.

### SUPPORTING DATA

In a Phase 2 clinical trial in non-small cell lung cancer (NSCLC), front-line temsirolimus monotherapy demonstrated some clinical benefit but failed to meet the trial's primary end point<sup>354</sup>. In a Phase 1 trial of temsirolimus and radiation in patients with NSCLC, of 8 evaluable patients, 3 exhibited PR and 2 exhibited SD<sup>355</sup>.

**NOTE** Genomic alterations detected may be associated with activity of certain FDA approved drugs, however, the agents listed in this report may have varied evidence in the patient's tumor type.



ORDERED TEST #

CLINICAL TRIALS

**NOTE** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and

should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that may require

medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://www.foundationmedicine.com/genomictesting#support-services). Or, visit <https://www.foundationmedicine.com/genomictesting#support-services>.

**GENE**  
**EGFR**

**ALTERATION**  
exon 19 deletion (E746\_S752>V)

**RATIONALE**  
EGFR activating mutations, rearrangements, or amplification may predict sensitivity to EGFR-targeted therapies. Strategies to overcome

resistance to current agents include next-generation EGFR inhibitors and combination therapies.

**NCT04487080**

**PHASE 3**

A Study of Amivantamab and Lazertinib Combination Therapy Versus Osimertinib in Locally Advanced or Metastatic Non-Small Cell Lung Cancer

**TARGETS**  
MET, EGFR

**LOCATIONS:** Tennessee, Georgia, Michigan, Beijing (China), Ankara (Turkey), Louisiana, Virginia, Florida, Minnesota, Maryland

**NCT04181060**

**PHASE 3**

Osimertinib With or Without Bevacizumab as Initial Treatment for Patients With EGFR-Mutant Lung Cancer

**TARGETS**  
EGFR, VEGFA

**LOCATIONS:** Tennessee, Illinois, Missouri, Ohio

**NCT04410796**

**PHASE 2**

Osimertinib Alone or With Chemotherapy for EGFR-Mutant Lung Cancers

**TARGETS**  
EGFR

**LOCATIONS:** Tennessee, Maryland, Florida, New Jersey, New York

**NCT03783403**

**PHASE 1**

A Study of CC-95251, a Monoclonal Antibody Directed Against SIRP $\alpha$ , in Subjects With Advanced Solid and Hematologic Cancers

**TARGETS**  
CD20, EGFR, SIRP-alpha

**LOCATIONS:** Tennessee, Missouri, Alabama, North Carolina, Pennsylvania, Oklahoma, Toronto (Canada), Texas, New York

**NCT04959981**

**PHASE 1/2**

A Study of Anti-Cancer Therapies Targeting the MAPK Pathway in Patients With Advanced NSCLC

**TARGETS**  
ERK1, ERK2, EGFR, KRAS

**LOCATIONS:** Tennessee, Michigan, Virginia, New Jersey, New York, California

ORDERED TEST #

CLINICAL TRIALS

**NCT02099058**

PHASE 1

A Phase 1/1b Study With ABBV-399, an Antibody Drug Conjugate, in Subjects With Advanced Solid Cancer Tumors

**TARGETS**  
MET, EGFR, PD-1

**LOCATIONS:** Tennessee, Taichung City (Taiwan), Illinois, Michigan, Virginia, Texas, New Jersey, New York, Massachusetts

**NCT04606381**

PHASE 1

A Study of Amivantamab Subcutaneous (SC) Administration for the Treatment of Advanced Solid Malignancies

**TARGETS**  
MET, EGFR

**LOCATIONS:** Tennessee, Indiana, Toronto (Canada), New York, Manchester (United Kingdom), Sutton (United Kingdom), Seoul (Korea, Republic of)

**NCT03831932**

PHASE 1

Glutaminase Inhibitor CB-839 Hydrochloride and Osimertinib in Treating Patients With EGFR-Mutated Stage IV Non-small Cell Lung Cancer

**TARGETS**  
EGFR, GLS

**LOCATIONS:** Kentucky, Alabama, Ohio, Pennsylvania, Virginia, Florida

**NCT04140526**

PHASE 1

Safety, PK and Efficacy of ONC-392 in Monotherapy and in Combination of Anti-PD-1 in Advanced Solid Tumors and NSCLC

**TARGETS**  
PD-1, CTLA-4, EGFR

**LOCATIONS:** Kentucky, Tennessee, Ohio, Virginia, Maryland, Pennsylvania, Florida, Texas, New Jersey

**NCT03909334**

PHASE 2

Study of Osimertinib With and Without Ramucirumab in Locally Advanced or Metastatic Non-Small Cell Lung Cancer (NSCLC)

**TARGETS**  
VEGFRs, EGFR

**LOCATIONS:** Indiana, Illinois, Virginia, District of Columbia, Florida, Texas, New Jersey, New York, Oregon, Washington

ORDERED TEST #

CLINICAL TRIALS

**GENE**  
**PIK3CA**

**ALTERATION**  
**E726K**

**RATIONALE**  
PIK3CA activating mutations may lead to activation of the PI3K-AKT-mTOR pathway and may therefore indicate sensitivity to inhibitors of

this pathway. Strong clinical data support sensitivity of PIK3CA-mutated solid tumors to the PI3K-alpha inhibitor alpelisib.

**NCT04632992**

**PHASE 2**

A Study Evaluating Targeted Therapies in Participants Who Have Advanced Solid Tumors With Genomic Alterations or Protein Expression Patterns Predictive of Response

**TARGETS**  
TRKB, ALK, TRKC, ROS1, TRKA, PD-L1, ERBB2, PI3K-alpha, RET, AKTs

**LOCATIONS:** Tennessee, Missouri, Alabama, North Carolina, Ohio, Arkansas

**NCT03994796**

**PHASE 2**

Genetic Testing in Guiding Treatment for Patients With Brain Metastases

**TARGETS**  
TRKB, ALK, TRKC, ROS1, TRKA, CDK4, CDK6, PI3K, mTOR

**LOCATIONS:** Kentucky, Illinois, Georgia, North Carolina, Ohio, Michigan

**NCT03006172**

**PHASE 1**

To Evaluate the Safety, Tolerability, and Pharmacokinetics of GDC-0077 Single Agent in Participants With Solid Tumors and in Combination With Endocrine and Targeted Therapies in Participants With Breast Cancer

**TARGETS**  
PI3K-alpha, Aromatase, ER, CDK6, CDK4

**LOCATIONS:** Tennessee, Toronto (Canada), New York, Massachusetts, London (United Kingdom), Surrey (United Kingdom), Bordeaux (France), Valencia (Spain), Barcelona (Spain)

**NCT04589845**

**PHASE 2**

Tumor-Agnostic Precision Immuno-Oncology and Somatic Targeting Rational for You (TAPISTRY) Platform Study

**TARGETS**  
TRKB, ALK, TRKC, ROS1, TRKA, RET, PD-L1, AKTs, ERBB2, MDM2, PI3K-alpha

**LOCATIONS:** Ohio, Tennessee, Missouri, Georgia, South Carolina, Illinois, Michigan, Wisconsin, Alabama

**NCT04770246**

**PHASE 2**

TAS-117 in Patients With Advanced Solid Tumors Harboring Germline PTEN Mutations

**TARGETS**  
AKT2, AKT1, AKT3

**LOCATIONS:** Ohio, Pennsylvania, Texas, California, London (United Kingdom), Villejuif (France), Vienna (Austria)

ORDERED TEST #

CLINICAL TRIALS

**NCT04958226**

PHASE 1

A Study to Assess the Effect of Capivasertib on Midazolam in Patients With Advanced Solid Tumours

TARGETS  
AKTs

LOCATIONS: Ohio, Pennsylvania, North Carolina, Texas, Colorado

**NCT04467801**

PHASE 2

Ipatasertib and Docetaxel in Metastatic NSCLC Patients Who Have Failed 1st Line Immunotherapy

TARGETS  
AKTs

LOCATIONS: Kansas

**NCT03297606**

PHASE 2

Canadian Profiling and Targeted Agent Utilization Trial (CAPTUR)

TARGETS  
VEGFRs, ABL, SRC, ALK, ROS1, AXL, TRKA, MET, TRKC, DDR2, KIT, EGFR, PD-1, CTLA-4, PARP, CDK4, CDK6, FLT3, CSF1R, RET, mTOR, ERBB2, MEK, BRAF, SMO

LOCATIONS: London (Canada), Toronto (Canada), Kingston (Canada), Ottawa (Canada), Montreal (Canada), Regina (Canada), Saskatoon (Canada), Edmonton (Canada), Kelowna (Canada), Vancouver (Canada)

**NCT04317105**

PHASE 1/2

Testing the Addition of an Anti-cancer Drug, Copanlisib, to the Usual Immunotherapy (Nivolumab With or Without Ipilimumab) in Patients With Advanced Solid Cancers That Have Changes in the Following Genes: PIK3CA and PTEN

TARGETS  
PD-1, CTLA-4, PI3K

LOCATIONS: Virginia, Toronto (Canada), Texas, Massachusetts

**NCT01737502**

PHASE 1/2

Sirolimus and Auranofin in Treating Patients With Advanced or Recurrent Non-Small Cell Lung Cancer or Small Cell Lung Cancer

TARGETS  
mTOR

LOCATIONS: Florida

ORDERED TEST #

**APPENDIX**

Information Provided as a Professional Service

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

**AR**  
A646D

**CARD11**  
amplification

**CDC73**  
amplification

**EGFR**  
amplification

**FGF14**  
E147K

**HRAS**  
S158C

**IKZF1**  
amplification

**NFKBIA**  
S283C

**NTRK3**  
V451I

**PDGFRB**  
A362T

**PMS2**  
amplification

**RAC1**  
amplification

**TGFBR2**  
C136F

**TSC2**  
A583T

SAMPLE

The content provided as a professional service by Foundation Medicine, Inc., has not been reviewed or approved by the FDA.

ORDERED TEST #

APPENDIX

About FoundationOne®CDx

**INTENDED USE**

FoundationOne®CDx (F1CDx) is a qualitative next generation sequencing based in vitro diagnostic test that uses targeted high throughput hybridization-based capture technology for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) using DNA isolated from formalin-fixed, paraffin embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1 in accordance with the approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms. Genomic findings other than those listed in Table 1 are not prescriptive or conclusive for labeled use of any specific therapeutic product.

The test is also used for detection of genomic loss of heterozygosity (LOH) from formalin-fixed, paraffin-embedded (FFPE) ovarian tumor tissue. Positive homologous recombination deficiency (HRD) status (F1CDx HRD defined as tBRCA-positive and/or LOH high) in ovarian cancer patients is associated with improved progression-free survival (PFS) from Rubraca (rucaparib) maintenance therapy in accordance with the Rubraca product label.

The F1CDx assay is performed at Foundation Medicine, Inc. sites located in Cambridge, MA and Morrisville, NC.

**TABLE 1: COMPANION DIAGNOSTIC INDICATIONS**

INDICATION	BIOMARKER	THERAPY
Non-small cell lung cancer (NSCLC)	EGFR exon 19 deletions and EGFR exon 21 L858R alterations	EGFR Tyrosine Kinase Inhibitors (TKI) Approved by FDA*
	EGFR exon 20 T790M alterations	Tagrisso® (Osimertinib)
	ALK rearrangements	Alecensa® (Alectinib), Alunbrig® (Brigatinib), Xalkori® (Crizotinib), or Zykadia® (Ceritinib)
	BRAF V600E	Tafinlar® (Dabrafenib) in combination with Mekinist® (Trametinib)
	MET single nucleotide variants (SNVs) and indels that lead to MET exon 14 skipping	Tabrecta® (Capmatinib)
Melanoma	BRAF V600E	BRAF Inhibitor Approved by FDA*
	BRAF V600E and V600K	Mekinist® (Trametinib) or BRAF/MEK Inhibitor Combinations Approved by FDA*
	BRAF V600 mutation-positive	Tecentriq® (Atezolizumab) in combination with Cotelllic® (Cobimetinib) and Zelboraf® (Vemurafenib)
Breast cancer	ERBB2 (HER2) amplification	Herceptin® (Trastuzumab), Kadcyla® (Ado-trastuzumab emtansine), or Perjeta® (Pertuzumab)
	PIK3CA C420R, E542K, E545A, E545D [1635G>T only], E545G, E545K, Q546E, Q546R, H1047L, H1047R, and H1047Y alterations	Piqray® (Alpelisib)
Colorectal cancer	KRAS wild-type (absence of mutations in codons 12 and 13)	Erbix® (Cetuximab)
	KRAS wild-type (absence of mutations in exons 2, 3, and 4) and NRAS wild type (absence of mutations in exons 2, 3, and 4)	Vectibix® (Panitumumab)
Ovarian cancer	BRCA1/2 alterations	Lynparza® (Olaparib) or Rubraca® (Rucaparib)
Cholangiocarcinoma	FGFR2 fusions and select rearrangements	Pemazyre® (Pemigatinib) or Truseltiq™ (Infigratinib)
Prostate cancer	Homologous Recombination Repair (HRR) gene (BRCA1, BRCA2, ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D and RAD54L) alterations	Lynparza® (Olaparib)
Solid Tumors	MSI-High	Keytruda® (Pembrolizumab)
	TMB ≥ 10 mutations per megabase	Keytruda® (Pembrolizumab)
	NTRK1/2/3 fusions	Vitrakvi® (Larotrectinib)

\*For the most current information about the therapeutic products in this group, go to: <https://www.fda.gov/medicaldevices/productsandmedicalprocedures/invitrodiagnostics/ucm301431.htm>

The median exon coverage for this sample is 970x

ORDERED TEST #

APPENDIX

About FoundationOne®CDx

## TEST PRINCIPLE

FoundationOne®CDx (F1CDx) is performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The assay employs a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons (refer to Table 2 and Table 3 for complete list of genes included in F1CDx). In total, the assay detects alterations in a total of 324 genes. Using the Illumina® HiSeq 4000 platform, hybrid capture-selected libraries are sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data is then processed using a customized analysis pipeline designed to detect all classes of genomic alterations, including base substitutions, indels, copy number alterations (amplifications and homozygous gene deletions), and selected genomic rearrangements (e.g., gene fusions). Additionally, genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and positive homologous recombination deficiency (HRD) status (tBRCA-positive and/or LOH high) are reported.

## PERFORMANCE CHARACTERISTICS

Please refer to product label:  
[foundationmedicine.com/f1cdx](http://foundationmedicine.com/f1cdx)

## WARNINGS AND PRECAUTIONS

- Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
- Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient's physician should determine whether the patient is a candidate for biopsy.
- Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an ERBB2 amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH test, 70% (7 out of 10 samples) were positive, and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The

frequency of ERBB2 copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in <https://www.mycancergenome.org/content/disease/breast-cancer/ERBB2/238/> report the frequency of HER2 overexpression as 20% in breast cancer. Based on the F1CDx HER2 CDx concordance study, approximately 10% of HER2 amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

## LIMITATIONS

- For *in vitro* diagnostic use.
- For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
- A negative result does not rule out the presence of a mutation below the limits of detection of the assay.
- Samples with <25% tumor may have decreased sensitivity for the detection of CNAs including *ERBB2*.
- Clinical performance of Tagrisso® (osimertinib) in patients with an *EGFR* exon 20 T790M mutation detected with an allele fraction <5% is ongoing and has not been established.
- Concordance with other validated methods for CNA (with the exception of *ERBB2*) and gene rearrangement (with the exception of *ALK*) detection has not been demonstrated and will be provided in the post-market setting. Confirmatory testing using a clinically validated assay should be performed for all CNAs and rearrangements not associated with CDx claims noted in Table 1 of the Intended Use, but used for clinical decision making.
- For patients with solid tumors whose samples have MSI scores >0.0041 and <0.0124, an MSI "Cannot Be Determined" result is reported. Patients with this result should be retested with a validated orthogonal (alternative) method as these MSI scores represent a range of scores with low reliability. The likelihood of a patient receiving this result is ~3.29% within solid tumors.
- Patients with solid tumors may also receive an MSI status reported as "Cannot Be Determined" due to a quality control (QC) failure. When all sample-level quality metrics are met, the rate of MSI "Cannot Be Determined" results due to a QC failure is 8.96%. Patients with this result should consider re-testing with FoundationOne CDx or an orthogonal (alternative) method, if clinically appropriate.
- TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation [https://www.accessdata.fda.gov/cdrh\\_docs/pdf17/P170019B.pdf](https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf). The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.
- Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community.
- The test is intended to be performed on specific serial number-controlled instruments by Foundation Medicine, Inc.
- Alterations in polyT homopolymer runs may not be reliably detected in BRCA1/2.
- Certain large rearrangements in BRCA1/2 including large scale genomic deletions (affecting at least one whole exon), insertions or other deleterious genomic rearrangements including inversions or transversion events, may not be detected in an estimated 5% of ovarian cancer patients with BRCA1/2 mutations by F1CDx.
- Certain potentially deleterious missense or small in-frame deletions in BRCA1/2 may not be reported under the "CDx associated findings" but may be reported in the "Other alterations and biomarkers identified" section in the patient report.
- Alterations at allele frequencies below the established limit of detection may not be

ORDERED TEST #

APPENDIX

About FoundationOne®CDx

- detected consistently.
16. Detection of LOH has been verified only for ovarian cancer patients.
  17. Performance of the LOH classification has not been established for samples below 35% tumor content and with LOH scores near the cutoff of 16.
  18. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.
  19. While the overall positive percent agreement between trial enrollment assays and F1CDx was 84% (37/44), thirty percent (30%) (6/20) of patients enrolled in the VITRAKVI clinical studies using RNA-based NGS detection were negative for *NTRK* fusions by F1CDx. Four of the six patients (4/6 or 60%) that were negative for *NTRK* fusions by F1CDx had a response to larotrectinib. Therefore, F1CDx may miss a subset of patients with solid tumors with *NTRK1/2/3* fusions who may derive benefit from VITRAKVI.
  20. *NTRK2* fusions per the F1CDx CDx biomarker rules for *NTRK1/2/3* fusions were not well-represented in analytical validation studies.
  21. Due to differences in technology, F1CDx, FISH, and IHC may identify slightly different populations when detecting *ALK* rearrangements. 6.5% of negatives by F1CDx may be positive by both IHC and FISH. See Section 3.4 of the device label for more information.

MR Suite Version (RG) 6.3.0

SAMPLE



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APPENDIX

Genes assayed in FoundationOne®CDx

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

**DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS**

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B or WTX)
APC	AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR
AURKA	AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1
BCL6	BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1
BTG2	BTK	CALR	CARD11	CASP8	CBFB	CBL	CCND1
CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73
CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DIS3	DNMT3A	DOT1L	EED	EGFR	EMSY (C11orf30)	EP300	EPHA3
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRF1	ESR1
FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3
FH	FLCN	FLT1	FLT3	FOXL2	FUBP1	GABRA6	GATA3
GATA6	GID4 (C17orf39)	GNA11	GNA13	GNAQ	GNAS	GRM3	GSK3B
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2
JUN	KDM5A	KDM5C	KDM6A	KDR	KEAP1	KEL	KIT
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11 (MRE11A)	MSH2
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN
NBN	NF1	NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2
NPM1	NRAS	NSD2 (WHSC1 or MMSET)	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3
P2RY8	PALB2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)
PDGFRA	PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1
PMS2	POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PPDM1	PRKAR1A
PRKN (PARK2)	PTCH1	PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21
RAD51B	RAD51C	RAD51D	RAD52	RAD54L	RAF1	RARA	RB1
REL	RET	RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB
SDHD	SETD2	SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1
SNCAIP	SOC3	SOX2	SOX9	SPEN	SPOP	SRC	STAG2
STK11	SUFU	SYK	TBX3	TEK	TENT5C (FAM46C)	TET2	TET2
TIPARP	TNFAIP3	TNFRSF14	TP53	TSC1	TSC2	TYRO3	U2AF1
VHL	WT1	XPO1	XRCC2	ZNF217	ZNF703		VEGFA

**DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS**

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSPO2	SDC4	SLC34A2	TERC*	TERT**	TMPRSS2

\*TERC is an NCRNA

\*\*Promoter region of TERT is interrogated

**ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS**

- Loss of Heterozygosity (LOH) score
- Microsatellite (MS) status
- Tumor Mutational Burden (TMB)

ORDERED TEST #

**APPENDIX**

Information Provided as a Professional Service

**QUALIFIED ALTERATION CALLS (EQUIVOCAL AND SUBCLONAL)**

An alteration denoted as “amplification –equivocal” implies that the FoundationOne®CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for **ERBB2** and six (6) for all other genes. Conversely, an alteration denoted as “loss – equivocal” implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as “subclonal” is one that the FoundationOne CDx analytical methodology has identified as being present in <10% of the assayed tumor DNA.

**PROFESSIONAL SERVICES FINDINGS**

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

**RANKING OF THERAPIES AND CLINICAL TRIALS**

*Ranking of Therapies in Summary Table*

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

*Ranking of Clinical Trials*

Pediatric trial qualification → Geographical proximity → Later trial phase.

**NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION**

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) ([www.nccn.org](http://www.nccn.org)). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given

therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient’s clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2022. All rights reserved. To view the most recent and complete version of the guidelines, go online to [NCCN.org](http://NCCN.org). NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

**LEVEL OF EVIDENCE NOT PROVIDED**

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

**NO GUARANTEE OF CLINICAL BENEFIT**

Foundation Medicine makes no promises or guarantees that a particular drug will be effective in the treatment of disease of any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

**NO GUARANTEE OF REIMBURSEMENT**

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

**TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN**

Drugs referenced may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides with the physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient’s treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient’s condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician’s decisions should not be based

on a single test, such as this Test, or the information contained in this Report.

**REPORT HIGHLIGHTS**

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

**LOSS OF HETEROZYGOSITY SCORE**

The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and extrapolating an LOH profile, excluding arm- and chromosome-wide LOH segments. The LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as “Cannot Be Determined” if the sample is not of sufficient quality to confidently determine LOH.

**MICROSATELLITE STATUS**

Microsatellite Instability (MSI) results categorized as “MS-Stable” with median exon coverage <300X, “MS-Equivocal,” or “Cannot Be Determined” should receive confirmatory testing using a validated orthogonal (alternative) method.

**TUMOR MUTATIONAL BURDEN**

Tumor Mutational Burden (TMB) is determined by measuring the number of somatic mutations in sequenced genes on the FoundationOne CDx test and extrapolating to the genome as a whole. TMB is assayed for all FoundationOne CDx samples and is reported in Professional Services as the number of mutations per megabase (Muts/Mb) rounded to the nearest integer. Tumor Mutational Burden is reported as “Cannot Be Determined” if the sample is not of sufficient quality to confidently determine Tumor Mutational Burden.

**VARIANT ALLELE FREQUENCY**

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account

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APPENDIX

Information Provided as a Professional Service

for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

Precision of VAF for base substitutions and indels

BASE SUBSTITUTIONS	
Repeatability	5.11 - 10.40
Reproducibility	5.95 - 12.31
INDELS	
Repeatability	6.29 - 10.00
Reproducibility	7.33 - 11.71

\*Interquartile Range = 1st Quartile to 3rd Quartile

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >10%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MLH1, MSH2, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51C, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, TSC2, and VHL, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are ASXL1, CBL, DNMT3A, IDH2, JAK2, KMT2D (MLL2),

MPL, MYD88, SF3B1, TET2, and U2AF1 and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

*Genomic Findings with Evidence of Clinical Significance*  
Genomic findings listed at Level 2 are associated with clinical significance. Clinical significance may be indicated by evidence of therapeutic sensitivity or resistance and/or diagnostic, prognostic or other clinically relevant implications. Included in this category will be findings associated with clinical validity as supported by professional guidelines and/or peer-reviewed publications.

*Genomic Findings with Potential Clinical Significance*  
Genomic findings listed at Level 3 are cancer-related mutations and biomarkers with potential clinical significance. These include findings in genes known to be associated with cancer and are supported by evidence from publicly available databases, and/or peer-reviewed publications.

*A Fluid Approach to Reporting Levels*  
As additional information becomes available, as recognized by the clinical community (professional guidelines and/or peer-reviewed publications), findings may move between Levels 2 and 3 in accordance with the above descriptions.

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

REFERENCE SEQUENCE INFORMATION

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

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ORDERED TEST #

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